

AO 120 (Rev. 08/10)

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| <b>TO: Mail Stop 8</b><br><b>Director of the U.S. Patent and Trademark Office</b><br><b>P.O. Box 1450</b><br><b>Alexandria, VA 22313-1450</b> | <b>REPORT ON THE</b><br><b>FILING OR DETERMINATION OF AN</b><br><b>ACTION REGARDING A PATENT OR</b><br><b>TRADEMARK</b> |
|---|---|

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been  
 filed in the U.S. District Court \_\_\_\_\_ for the District of Delaware \_\_\_\_\_ on the following

☐ Trademarks or ☒ Patents. ( ☐ the patent action involves 35 U.S.C. § 292.):

|                               |                                |   |
|-------------------------------|--------------------------------|---|
| DOCKET NO. 21-737-CFC         | DATE FILED<br>5/24/2021        | U.S. DISTRICT COURT<br>for the District of Delaware |
| PLAINTIFF<br>PROOFPOINT, INC. |                                | DEFENDANT<br>ZAPFRAUD, INC.                         |
| PATENT OR<br>TRADEMARK NO.    | DATE OF PATENT<br>OR TRADEMARK | HOLDER OF PATENT OR TRADEMARK                       |
| 1 US 9,245,115 B1             | 1/26/2016                      | ZapFraud, Inc.                                      |
| 2                             |                                |   |
| 3                             |                                |   |
| 4                             |                                |   |
| 5                             |                                |   |

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

|                            |   |                               |
|----------------------------|---|-------------------------------|
| DATE INCLUDED              | INCLUDED BY<br><input type="checkbox"/> Amendment <input type="checkbox"/> Answer <input type="checkbox"/> Cross Bill <input type="checkbox"/> Other Pleading |                               |
| PATENT OR<br>TRADEMARK NO. | DATE OF PATENT<br>OR TRADEMARK  | HOLDER OF PATENT OR TRADEMARK |
| 1                          |   |                               |
| 2                          |   |                               |
| 3                          |   |                               |
| 4                          |   |                               |
| 5                          |   |                               |

In the above—entitled case, the following decision has been rendered or judgement issued:

|   |
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| DECISION/JUDGEMENT<br><br>Notice of Voluntary Dismissal Filed 8/23/2021 |
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|                         |                                   |                   |
|-------------------------|-----------------------------------|-------------------|
| CLERK<br>John A. Cerino | (BY) DEPUTY CLERK<br>/s/N. Fasano | DATE<br>8/24/2021 |
|-------------------------|-----------------------------------|-------------------|

Copy 1—Upon initiation of action, mail this copy to Director    Copy 3—Upon termination of action, mail this copy to Director  
 Copy 2—Upon filing document adding patent(s), mail this copy to Director    Copy 4—Case file copy